

Sheet 1 of 2

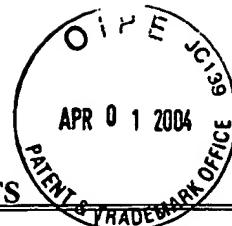
<b>INFORMATION DISCLOSURE CITATION LIST</b>	<b>Atty. Docket No.:</b> 3524/14	<b>Application No.:</b> 09/584,045
	<b>Applicants:</b> Hausman	
	<b>Filing Date:</b> May 30, 2000	<b>Group Art Unit:</b> 2164

**U.S. PATENT DOCUMENTS**

Exam. Init	Document Number	Date	Name	Class	Subclass	Filing Date
gc	5,832,462	11/3/98	Midorikawa et al.			
	5,842,178	11/24/98	Giovannoli			
	5,924,083	7/13/99	Silverman et al.			
	6,260,025	7/10/01	Silverman et al.			
	6,282,521	8/28/01	Howorka			RECEIVED
	6,317,727	11/13/01	May			APR 06 2004
	6,343,278	1/29/02	Jain et al.			GROUP 300
	6,347,307	2/12/02	Sandhu et al.			
	6,421,653	7/16/02	May			
	2001/0037284	11/1/01	Finkelstein et al.			
	2002/0099651	7/25/02	May			
	2002/0082976	6/27/02	Howorka			
	2002/0099647	7/25/02	Howorka			
	2002/0107781	8/8/02	Neyman et al.			
	2002/0116314	8/22/02	Spencer et al.			
gc	2002/0116317	8/22/02	May			

J. Collett

6-14-05



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FOREIGN PATENT DOCUMENTS

Exam Init.	Document Number	Date	Country	Class	Translation	Filing Date
<i>Reviewing</i>	WO 97/22072	6/19/97	PCT			
<i>Reviewing</i>	WO 97/45802	12/4/97	PCT			
<i>Reviewing</i>	WO 99/19821	4/22/99	PCT			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

<i>Reviewing</i>	Dealing 200-2, Definitions and Rule Book, Version 6.2 (Reuters Limited, London) 1994
<i>Reviewing</i>	Tafex: Transamerica Foreign Exchange, Tafex Systems Corporation (New York)
<i>Reviewing</i>	Chicago Mercantile Exchange's Proposed Globex Trading System, Division of Trading and Markets, February 2, 1989

Examiner: *J. Calvert*

Date Considered: *6-14-05*

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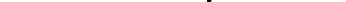


<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<p><b>Complete if Known</b></p>		
<p>Sheet</p>		<p>1</p>	<p>of</p>	<p>2</p>	<p>Attorney Docket Number</p>	<p>3524/14</p>
<p>Application Number</p>		<p>09/584,045</p>				
<p>Filing Date</p>		<p>May 30, 2000</p>				
<p>First Named Inventor</p>		<p>Andrew Hausman</p>				
<p>Group Art Unit</p>		<p>3624</p>				
<p>Examiner Name</p>		<p>Sandra S. Snapp</p>				

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APR 27 2004

# GROUP 3600

Examiner Signature		Date Considered	6-14-05
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**Substitute for form 1449B/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

<i>Complete if Known</i>	
<b>Application Number</b>	09/584,045
<b>Filing Date</b>	May 30, 2000
<b>First Named Inventor</b>	Andrew Hausman
<b>Group Art Unit</b>	3624
<b>Examiner Name</b>	Sandra S. Snapp
<b>Attorney Docket Number</b>	3524/14

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials/ Signature	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
<i>Miles</i>		8 pages from HoustonStreet.com dated 8/22/99	
		(http://home.houstonstreet.com/fulltours/sections/022.htm, - /050.htm, - /060.htm, - /070.htm, - /080.htm, - /081.htm, - /083.htm and /120.htm)	

Examiner  
Signature

E. Albee

Date  
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